

Furnishing of PAN and KYC details

Securities and Exchange Board of India (SEBI) has mandated the furnishing of PAN, KYC, Contact, Bank Account, Specimen Signature and Nomination Details of members against demat account.

Members are requested to submit requisite details to their Depository Participants (in case of shareholding in dematerialised form) or to RTA (in case of shares held in Escrow/Suspense Demat Account) through forms as below, available in Investor Forms Download Section:

Form ISR-1: Registering PAN, Bank, specimen signature and contact details

Form ISR-2: Confirmation of Signature of the Member by the Banker (in case of major mismatch of signature or non-availability of signature)

Nomination Details

Pursuant to the provisions of Section 72 of the Companies Act, 2013 read with the Companies (Share Capital and Debenture) Rules, 2014, members are entitled to make, vary or cancel nomination, in respect of securities held by them.

Members holding securities in electronic form, are requested to submit the requisite forms directly to their Depository Participant i.e. where they maintain their demat account, by September 30, 2023, failing which the trading accounts shall be frozen for trading and demat account shall be frozen for debits.

SH-13: Nomination Form

SH-14: Cancellation/ variation of Nominee

Rights of Nominee

As per the provisions of Section 72 of the Act, the nominee is entitled to all the rights in the securities of the deceased shareholder in relation to such securities to the exclusion of all other persons. In the event of death of the shareholder, all the rights of the shareholder shall vest in the nominee. In case of joint holding, all the rights shall vest in the nominee only in the event of death of all the joint holders. The nominee is required to apply to the DP by reporting the death of the nominator along with the attested copy of the death certificate.

Holding of shares in Dematerialized Form

The equity shares of the company are under compulsory demat holding and trading by all Investors. SEBI vide its Circular No. SEBI/HO/MIRSD_RTAMB/P/CIR/2022/8 dated 25.01.2022 mandated the listed companies to issue securities in dematerialized form only while processing the service requests viz: claim from unclaimed suspense account / escrow account, subdivision, splitting, consolidation, transmission, transposition etc. Shares are available for Demat with National Securities Depository Limited (ISIN: INE0HR601026).

Shares held in Escrow/ Suspense Account/ Non-receipt of shares after Demerger

Pursuant to Hon'ble National Company Law Tribunal, Kolkata Bench ("NCLT") Order dated 04.03.2022 approving the Scheme of Demerger between Kkalpana Industries (India) Limited ("KIIL") and Ddev Plastiks Industries Limited ("DPIL"), the shareholders of KIIL were to be allotted shares of DPIL in 1:1 ratio i.e. 1 share of DPIL was to be allotted to every shareholder holding 1 share of KIIL as at 08.04.2023, being the record date. However, the allotment was to be made only in Dematerialized form, hence the shareholders of KIIL holding shares in physical mode as at the said record date were not issued shares and the shares required to be allotted to them were held in Escrow/Suspense account maintained by the company. Such shareholders are requested to kindly approach the RTA for transferring the shares from Escrow/Suspense account to their account which is being processed in Lots, as and when the requests are received.

Any corporate benefits accruing on such shares, viz., bonus shares, split, etc., shall also be credited to such demat suspense account or unclaimed suspense account, as applicable, for a period of seven years and thereafter shall be transferred in accordance with the provisions of applicable laws.

Details of shares lying in Escrow Account are available under the head "Escrow Account Data"

Foreign Investments

Foreign Investment in India is regulated in terms of clause (b) of sub-section 3 of section 6 and section 47 of the Foreign Exchange Management Act, 1999 (FEMA) read with Foreign Exchange Management (Transfer or Issue of a Security by a Person resident Outside India) Regulations, 2017 issued vide Notification No. FEMA 20(R)/2017-RB dated November 7, 2017, as amended from time to time. FEMA prescribes the various foreign investment limits in listed Indian companies. These include the aggregate FPI (Foreign Portfolio Investor) limit, the aggregate NRI (Non-Resident Indian) limit and the sectoral cap.

Foreign investors making investment/willing to make investment in securities of the Company are advised to visit websites of BSE (www.bseindia.com), NSDL (www.nsdl.co.in) and CDSL (www.cdslindia.com) beforehand to know more on the foreign investment limits and the headroom available from time to time for investment in securities of the Company.

SEBI has vide Circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated 03.11.2021 read with SEBI/HO/MIRSD/MIRSD_RTAMB/P/ CIR/2021/687 dated 14.12.2021 and SEBI/HO/MIRSD/MIRSD-PoD1/P/CIR/2023/37 dated 16.03.2023 ("SEBI Circulars") mandated furnishing of Permanent Account Number ('PAN'), KYC details viz. Contact Details (Postal Address, Mobile Number and E-mail), Bank Details, Nomination etc. by holders of physical securities. The Company had sent letters for furnishing the required details. Any service request shall be entertained by RTA/DP only upon registration of the PAN, KYC details and the nomination. Further, in absence of the above information on or after 01.10.2023, the folio(s) shall be frozen by RTA/DP in compliance with the aforesaid SEBI Circulars. If the folio(s) continue to remain frozen as on 31.12.2025, the frozen folios shall be referred by RTA/Company/DP to the administering authority under the Benami Transactions (Prohibitions) Act, 1988 and/or Prevention of Money Laundering Act, 2002.