



Ashok Kumar Daga

B. Com. (H), LLB., FCS
Practising Company Secretary

AVANI OXFORD, PHASE II
136, JESSORE ROAD, BLOCK - 1
FLAT # 1B, 1ST FLOOR
KOLKATA - 700055
Phone : +91 33 32916865
Mobile : 09831036425, 09830236425
E-mail : daga.ashok@gmail.com

Form No. MR-3

SECRETARIAL AUDIT REPORT

FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2022

[Pursuant to section 204(1) of the Companies Act, 2013 and rule No. 9 of the Companies (Appointment and Remuneration Personnel) Rules, 2014]

To,
The Members,
DDEV PLASTIKS INDUSTRIES LIMITED
2B, Petoria Street,
Kolkata - 700071

I have conducted the secretarial audit of the compliance of applicable statutory provisions and the adherence to good corporate practices by **DDEV PLASTIKS INDUSTRIES LIMITED** (hereinafter called the company). Secretarial Audit was conducted in a manner that provided me a reasonable basis for evaluating the corporate conducts/statutory compliances and expressing my opinion thereon.

Based on my verification of the Company's books, papers, minute books, forms and returns filed and other records maintained by the company and also the information provided by the Company, its officers, agents and authorized representatives during the conduct of secretarial audit, I hereby report that in my opinion, the company has, during the audit period covering the financial year ended on 31ST, March, 2022 complied with the statutory provisions listed hereunder and also that the Company has proper Board-processes and compliance-mechanism in place to the extent, in the manner and subject to the reporting made hereinafter:

I have examined the books, papers and other records maintained by **DDEV PLASTIKS INDUSTRIES LIMITED** ("the Company") for the financial year ended on 31ST March, 2022, according to the provisions of:

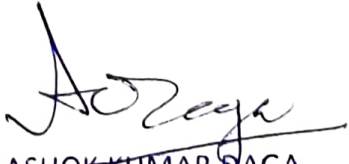
- (i) The Companies Act, 2013 (the Act) and the rules made thereunder;
- (ii) The Securities Contracts (Regulation) Act, 1956 ('SCRA') and the rules made there under;
Not applicable, since the shares of the company are not listed.
- (iii) The Depositories Act, 1996 and the Regulations and Bye-laws framed there under;
Not applicable.


ASHOK KUMAR DAGA
Practising Company Secretary
FCS-2699 & CP-2948

- (iv) Foreign Exchange Management Act, 1999 and the rules and regulations made there under to the extent of Foreign Direct Investment, Overseas Direct Investment and External Commercial Borrowings;**Not applicable, since there is no Foreign Direct Investment, Overseas Direct Investment and External Commercial Borrowings**
- (v) The following Regulations and Guidelines prescribed under the Securities and Exchange Board of India Act, 1992 ('SEBI Act'):-
- (a) The Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;**Not applicable**
- (b) The Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992;
Not applicable
- (c) The Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009; **Not applicable, since the company has not raised share capital during the year.**
- (d) The Securities and Exchange Board of India (Employee Stock Option Scheme and Employee Stock Purchase Scheme) Guidelines, 1999;
Not applicable, since the company has not issued shares as per (Employee Stock Option Scheme and Employee Stock Purchase Scheme) Guidelines, 1999 during the year.
- (e) The Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
Not applicable, since the company has not issued any debt securities as per (Issue and Listing of Debt Securities) Regulations, 2008;
- (f) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with client;
- (g) The Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009; and
Not applicable,
- (h) The Securities and Exchange Board of India (Buyback of Securities) Regulations, 1998;**Not applicable.**

I have also examined compliance with the applicable clauses of the following:

- (i) Secretarial Standards issued by The Institute of Company Secretaries of India as amended from time to time, and
- (ii) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015: **Not applicable**


ASHOK KUMAR DAGA
Practicing Company Secretary
FCS-2699 & CP-2948

During the period under review the Company has complied with the provisions of the Act, Rules, Regulations, Guidelines, Standards, etc. mentioned above.

I further report that

The Board of Directors of the Company is duly constituted with proper balance of Executive Directors, Non-Executive Directors and Independent Directors. The following changes occurred in the Directors and KMP. The composition of the Board of Directors during the period under review remains as under:

SL NO.	NAME OF THE DIRECTOR/KMP	PARTICULARS OF CHANGES
1.	MRS. MAMTA BINANI (ADDITIONAL DIRECTOR)	APPOINTMENT
2.	MR. RAJESH KUMAR KOTHARI (WHOLETIME DIRECTOR)	CHANGE IN DESIGNATION
3.	MS. RAMYA HARIHARAN (ADDITIONAL DIRECTOR)	APPOINTMENT
4.	MR.DDEV SURANA (CEO)	APPOINTMENT
5.	MR.NARRINDRA SURANNA (MANAGING DIRECTOR)	APPOINTMENT
6.	MR. SAMIR KUMAR DUTTA (ADDITIONAL DIRECTOR)	APPOINTMENT
7.	MR. SURENDRA KUMAR SURANA (DIRECTOR)	CESSATION
8.	MR.DDEV SURANA (WHOLETIME DIRECTOR)	CHANGE IN DESIGNATION

Adequate notice is given to all directors to schedule the Board Meetings, agenda and detailed notes on agenda were sent at least seven days in advance, and a system exists for seeking and obtaining further information and clarifications on the agenda items before the meeting and for meaningful participation at the meeting.

Majority decision is carried through while the dissenting members' views are captured and recorded as part of the minutes.


ASHOK KUMAR DAGA
Practicing Company Secretary
FCS-2699 & CP-2948

Pursuant to Scheme of Arrangement between the Company, Kkalpana Industries (India) Limited (CIN: L19202WB1985PLC039431) (“KKIL”), Holding Company, and their respective Shareholders and Creditors, providing for, inter alia, transfer of their Compounding Business Undertaking of the Company, on a going concern basis, to Our Company, as per provisions of Sec.230-232 of the Companies Act, 2013, the scheme was considered and approved by the Hon’ble National Company Law Tribunal (NCLT), Kolkata Bench vide its order dated 04th March, 2022. Accordingly, the business undertaking of Compounding Business stands demerged and vested in Our Company in accordance with the said NCLT Order. The Certified copy of the NCLT Order dated 04.03.2022 was received on 16.03.2022 and duly filed with Registrar of Companies, West Bengal, on 01.04.2022. After the end of financial year under review and as at the date of this report, our Company had allotted 94072930 Equity Shares of Re.1 each in the ratio of 1:1 to the shareholders of the Demerged Company. Kkalpana Industries (India) Limited, whose name appeared as on 08.04.2022, being the record date for the said purpose, in accordance with the approved Scheme of Arrangement a listing application has been filed to BSE Limited, designated stock Exchange.

Further the Company is in the process to complete remaining regulatory compliances and formalities with regard to fulfill the terms and conditions of demerger scheme.

I further report that there are adequate systems and processes in the company commensurate with the size and operations of the company to monitor and ensure compliance with applicable laws, rules, regulations and guidelines.

Place: KOLKATA
Date: 26.05.2022

UDIN:F002699D000399700



ASHOK KUMAR DAGA
(Practicing Company Secretary)
FCS No.2699, C P No: 2948

ASHOK KUMAR DAGA
Practicing Company Secretary
FCS-2699 & CP-2948